# **Barrier Lyapunov Based Adaptive Control for Hydraulic Servo Systems with Parametrical Nonlinearities and Modeling Uncertainties**

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#### 1. Introduction

Hydraulic servo systems are widely used in industrial fields, such as earthquake simulator, vehicle active suspension, heavy mining equipment in virtue of their high power-to ratios, fast response, large force/torque output and good robustness [1-4]. However, the parametrical nonlinearities including input saturation, dynamic friction and valve overlap hinder the high precision position tracking of hydraulic equipment [5-7]. In addition, the hydraulic system uncertainties (e.g., bulk modulus, load variation, oil leakage, external disturbance) also complicate the controller design [8]. Hence, the traditional linear models cannot meet the requirement of high-performance dynamic response and small steady-state precision for modern industrial process control [9]. More importantly, these nonlinearities and uncertainties may degrade performance and even result in control system unstable [10]. Nevertheless, current hydraulic servo systems demand both transient response performance and tracking precision. To meet this requirement, it is very necessary to enhance the control response performance in hydraulic system.

To retain satisfying tracking performance, numerous control schemes have been developed, such as proportional-integral-derivative (PID) control [11], adaptive control (AC) [12-14], robust control [15-17], backstepping control [18-20], fuzzy control [21] and neural network control [22,23]. Classical PID control may not achieve satisfy tracking performance when there are model uncertainties, parameter fluctuations and external disturbance. To cope with the drawbacks of traditional PID control, intelligent optimization algorithm is integrated with PID to on-line adjust controller's parameters [24]. Yao et.al developed an adaptive controller to deal with parametric uncertainties along with nonlinear friction compensation of hydraulic systems, where adaptive control law and robust control law is designed in backstepping controller to achieve excellent tracking performance [25]. Deng et al utilized robust controller to addresses the high precision position control of hydraulic system with parametric uncertainties and unmodeled disturbances by introducing a nonlinear robust term [26]. Guo et. al proposed backstepping controller to handle unknown load disturbance and uncertain nonlinearity by using extended-state-observer [18]. Shen et. al proposed fuzzy controller to solve the parametric coupling and intrinsic nonlinearity of hydraulic cylinder, where fuzzy system is used to approximate the minimum sliding mode gain [21]. Guo et al

presented a neural network-based adaptive composite dynamic surface controller, where neural network is employed to estimate the system state and unknown nonlinearity of dynamic friction [27].

Despite the aforementioned control methods have good tracking performance, they did not take state constraints into consideration. In real world, the practical systems abide the effect of the constraints, such as pressure of hydraulic cylinder and physical limitation of system [28]. Song et al. investigated an adaptive controller f to solve the full-state and input constraints of motors, where log-type barrier Lyapunov function (BLF) is used to deal with output performance constraint, input constraints, and unknown external disturbances [29]. Liu et al used adaptive learning controller to cope with the state constraints of nonlinear systems, where the BLF were designed to guarantee that the state constraints are bounded [30]. The abovementioned control methods can solve the constant constraint problem well to some extent. In this paper, in order to relax the conditions of the system constant constraint, the time-varying asymmetric barrier Lyapunov function (TABLF) is selected the intermediate function in each step of backstepping design. In addition, the adaptive law can also be used to update the disturbance upper bounds online, which improves the operability of the controller in practical applications.

This paper is organized as follows. Problem formulation and dynamic model are described in section 2. In section 3, the controller design is presented and the system stability is analysed. Section 4 proposes comparative simulation results. Some conclusions are provided in section 5.

#### 2. Problem formulation and dynamic model

In this section, the nonlinear dynamic model of the hydraulic servo system is given. Fig.1 shows the considered hydraulic servo system, which mainly contains pump, actuator, servo valve and other components. The hydraulic actuator drives the load to move the desired position. The servo valve determines the direction of the motion and the speed of hydraulic actuator by controlling the spool position. The accumulator installed next to the pump acts as energy replenishment device. The relief valve plays an important role in protecting equipment operation by controlling the maximum of work pressure. The control goal hydraulic servo system is to make the load track the desired signal as closely as possible by adjusting the output pressure of hydraulic cylinder. The dynamics equation of load is described as follows

$$m\ddot{\mathbf{y}} = P_L A - B\dot{\mathbf{y}} - K\mathbf{y} + f\left(\mathbf{y}, \dot{\mathbf{y}}, t\right),\tag{1}$$

where *m* is the displacement of load, *y* is the mass of load;  $P_L=P_1-P_2$  is the load pressure; *A* is the efficient ram area; *B* is the viscous coefficient; *f* is the unmodeled disturbance.



Fig. 1 Control mechanism of hydraulic servo system

The fluid dynamic equation of the actuator is described as

$$\frac{V_t}{4\beta_e}\dot{P}_L = -A\dot{y} - C_t P_L + Q_L, \qquad (2)$$

where  $V_t$  is the total volume of the actuator;  $\beta_e$  is the effective bulk modulus,  $C_t$  is the coefficient of the internal leakage,  $Q_L = (Q_1 + Q_2)/2$  is load flowrate, in which  $Q_1$  and  $Q_2$  are the supplied flowrate and return flowrate. The load flowrate  $Q_L$  can be described by

$$Q_L = C_d w x_v \sqrt{\frac{P_s - sign(x_v)P_L}{\rho}}, \qquad (3)$$

where  $C_d$  is the discharge coefficient, w is the spool valve area gradient,  $x_v$  is the spool valve displacement,  $P_s$  is the supply pressure,  $\rho$  is the oil density. The sign function is given by

$$sign(*) = \begin{cases} 1 & \text{if } * > 0 \\ 0 & \text{if } * = 0 \\ -1 & \text{if } * < 0 \end{cases}$$
(4)

The relationship between spool position  $x_v$  and servo valve control input voltage u can be described as  $x_v=k_iu$ , where  $k_i$  is a positive electrical constant. Therefore, Eq. (3) can be rewritten as

$$Q_L = ku\sqrt{P_s - \operatorname{sign}(x_v)P_L} , \qquad (5)$$

Define  $x = [x_1, x_2, x_3]^T = [y, \dot{y}, P_L]^T$ , combining Eqs. (1)-(5), the system state space can be described as

$$\begin{cases} \dot{x}_{1} = x_{2} \\ \dot{x}_{2} = \frac{1}{m} (-Kx_{1} - Bx_{2} + Ax_{3} + f) \\ \dot{x}_{3} = -\frac{4A\beta_{e}}{V_{t}} x_{2} - \frac{4\beta_{e}C_{t}}{V_{t}} x_{3} + \\ + \frac{4\beta_{e}k}{V_{t}} \sqrt{P_{s} - sign(x_{v})x_{3}}u \end{cases}$$
(6)

**Remark 1.** The hydraulic parameters  $C_d$ ,  $\rho$ , K, B,  $\beta_e$ ,  $C_t$  are always uncertain positive constants.

**Remark 2.** The unmodeled disturbance *f* is bounded by  $|f| \leq f_{\text{max}}$ , where  $f_{\text{max}}$  is a positive constant.

Based on remarks 1 and 2, the dynamical model of hydraulic servo system (6) is written as

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = f_2\left(\overline{x}_2\right) + g_2\left(\overline{x}_2\right) x_3 \\ \dot{x}_3 = f_3\left(\overline{x}_3\right) + g_3\left(\overline{x}_3\right) u \quad , \qquad (7) \end{cases}$$

where four model function are designed as

$$\begin{cases} f_2(\overline{x}_2) = -\frac{Kx_1 + Bx_2}{m} \\ g_2(\overline{x}_2) = \frac{A+f}{m} \\ f_3(\overline{x}_3) = -\frac{4A\beta_e}{V_t} x_2 - \frac{4\beta_e C_t}{V_t} x_3 \\ g_3(\overline{x}_3) = \frac{4\beta_e k}{V_t} \sqrt{P_s - sign(x_v) x_3} u . \end{cases}$$

$$(8)$$

### 3. Controller design

The nonlinearities and modeling uncertainties of hydraulic servo system is given by

$$f_i(x_i) = \varphi_i(x_i)\theta^T, \qquad (9)$$

where  $\varphi_i(x_i)$ ,  $\theta$  are known function and unknown vector, respectively. In addition,  $\hat{\theta}$  is the estimation of  $\theta$  and  $\tilde{\theta} = \hat{\theta} - \theta$ .

Define  $z_1 = x_1 - y_d$  and  $z_i = x_i - \alpha_{i-1}$ , i = 2, 3, where  $\alpha_{i-1}$  is a virtual law which is designed in backstepping controller. For the sake of narrative, the definition is first introduced.

$$\begin{cases} q_i(z_i) = 1 & z_i > 0 \\ q_i(z_i) = 0 & z_i \le 0 \end{cases},$$
(10)

$$\begin{cases} \xi_{a_i}(t) = \frac{z_i}{k_{a_i}(t)}, \xi_{b_i}(t) = \frac{z_i}{k_{b_i}(t)}, \\ \xi_i = q_i(z_i)\xi_{b_i}(t) + (1 - q_i(z_i))\xi_{a_i}(t) \end{cases}$$
(11)

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where  $k = C_d w k_i \sqrt{1/\rho}$ .

as

 $\alpha_1$  as

$$u_{i} = \frac{q_{i}(z_{i})}{k_{b_{i}}^{2p} - z_{i}^{2p}} + \frac{1 - q_{i}(z_{i})}{k_{a_{i}}^{2p} - z_{i}^{2p}},$$
(12)

$$k_i^u(t) = \sqrt{(\dot{k}_{b_i}(t) / k_{b_i}(t))^2 + (\dot{k}_{a_i}(t) / k_{a_i}(t))^2 + \beta_i}, \quad (13)$$

where  $\beta_i$  is a positive constant,  $-k_{a_i}(t) < z_i(t) < k_{b_i}(t)$ ,

 $k_{a_i}(t)$  and  $k_{b_i}(t)$  are design parameters.

**Step 1**: The time derivative of  $z_1 = x_1 - y_d$  is

$$\dot{z}_1 = \dot{x}_1 - \dot{y}_d = x_2 - \dot{y}_d \ . \tag{14}$$

Considering the TABLF as

$$V_{1} = \frac{q_{1}(z_{1})}{2p} \log \frac{k_{b_{1}}^{2p}(t)}{k_{b_{1}}^{2p}(t) - z_{1}^{2p}} + \frac{1 - q_{1}(z_{1})}{2p} \log \frac{k_{a_{1}}^{2p}(t)}{k_{a_{1}}^{2p}(t) - z_{1}^{2p}},$$
(15)

where p is a positive integer.  $k_{a_1}(t)$ ,  $k_{b_1}(t)$  are defined as

$$\begin{cases} k_{a_{1}}(t) = y_{d}(t) - k_{c_{1}}^{l}(t) \\ k_{b_{1}}(t) = k_{c_{1}}^{u}(t) - y_{d}(t) \end{cases}$$
(16)

where  $k_{a_1}^l \le k_{a_1}(t) \le k_{a_1}^u$ ,  $k_{b_1}^l \le k_{b_1}(t) \le k_{b_1}^u$ ,  $k_{a_1}^l$ ,  $k_{a_1}^u$ ,  $k_{b_1}^l$ ,  $k_{b_1}^u$ are constants.

$$\dot{V}_{1} = \frac{q_{1}(z_{1})\xi_{b_{1}}^{2p-1}}{k_{b_{1}}(t)(1-\xi_{b_{1}}^{2p})} \left[ z_{2} - (k_{1}+k_{1}^{u}(t))z_{1} + \Phi_{1} - z_{1}\frac{\dot{k}_{b_{1}}(t)}{k_{b_{1}}(t)} \right]$$

Note that

 $k_1^u(t) + q_1(z_1)(\dot{k}_{b_1}(t) / k_{b_1}(t)) + (1 - q_1(z_1))(\dot{k}_{a_1}(t) / k_{a_1}(t)) \ge 0,$  then it has

$$\dot{V}_{1} \leq \mu_{1} z_{1}^{2p-1} z_{2} - k_{1} \xi_{1}^{2p} / (1 - \xi_{1}^{2p}) + \mu_{1} \Phi_{1} z_{1}^{2p-1}.$$
(22)

According to Young's inequality

$$xy \le |x|^a / a + |y|^b / b$$
, (23)

where a>1, b>1, and (a-1)(b-1) = 1. Then one can see that

$$\mu_{1} z_{1}^{2p-1} z_{2} \leq \mu_{1} \Big[ (2p-1) z_{1}^{2p} / 2p + z_{2}^{2p} / 2p \Big].$$
(24)

Substituting (20) into (19), it yields

$$V_{1} \leq -k_{1}\xi_{1}^{2p} / (1 - \xi_{1}^{2p}) - \tau_{1} + \mu_{1}\tau_{2}^{2p} / 2p$$
(25)

where  $\tau_1$  is an adaptive parameter.

**Step 2**: The time derivative of  $z_2=x_2-\alpha_1$  is

$$\dot{z}_2 = \dot{x}_2 - \dot{\alpha}_1 = f_2(\bar{x}_2) + g_2(\bar{x}_3) - \dot{\alpha}_1.$$
(26)

According to Eq. (11), the Eq. (15) can be rewritten

$$V_{1} = \left[ log 1 / \left( 1 - \xi_{1}^{2p} \right) \right] / 2p .$$
 (17)

It is clear that the absolute value of  $\zeta$  is less than 1. Thus, the  $V_1$  is positive definite and continuously differentiable.

The time derivative of  $V_1$  is

$$\dot{V}_{1} = \frac{\xi_{1}^{2p-1}}{1-\xi_{1}^{2p}} \dot{\xi}_{1} = \frac{q_{1}(z_{1})\xi_{b_{1}}^{2p-1}}{k_{b_{1}}(t)(1-\xi_{b_{1}}^{2p})} \left[\dot{z}_{1} - z_{1}\frac{\dot{k}_{b_{1}}(t)}{k_{b_{1}}(t)}\right] + \frac{1-q_{1}(z_{1}))\xi_{a_{1}}^{2p-1}}{k_{a_{1}}(t)(1-\xi_{a_{1}}^{2p})} \left[\dot{z}_{1} - z_{1}\frac{\dot{k}_{a_{1}}(t)}{k_{a_{1}}(t)}\right].$$
(18)

Introduce  $z_2=x_2-\alpha_1$  and choose the virtual controller

$$\alpha_{1} = -\left(k_{1} + k_{1}^{u}\left(t\right)\right)z_{1} + \dot{y}_{d} + \Phi_{1}$$
(19)

where  $k_1^u(t) = \sqrt{(\dot{k}_{b_1}(t) / k_{b_1}(t))^2 + (\dot{k}_{a_1}(t) / k_{a_1}(t))^2 + \beta_1}$ ,  $k_1$ and  $\beta_1$  are the positive design parameters,  $\Phi_1 = -\lg(x_1)z_1$ . Substituting (19) into (14), it yields

$$\dot{z}_1 = z_2 - (k_1 + k_1^u(t))z_1 + \Phi_1 \,. \tag{20}$$

Combine Eqs. (20) and (17) leads to

$$\frac{(t)}{(t)} \Bigg] + \frac{1 - q_1(z_1))\xi_{a_1}^{2\,p-1}}{k_{a_1}(t)(1 - \xi_{a_1}^{2\,p})} \Bigg[ z_2 - (k_1 + k_1^u(t))z_1 + \varPhi_1 - z_1 \frac{\dot{k}_{a_1}(t)}{k_{a_1}(t)} \Bigg].$$
(21)

$$V_2 = V_1 + \left[ \log 1 / (1 - \xi_2^{2p}) \right] / 2p .$$
(27)

The time derivation of  $V_2$  is given by

$$\dot{V}_{2} = \dot{V}_{1} + \xi_{2}^{2P-1} \dot{\xi}_{2} / (1 - \xi_{2}^{2P}) =$$

$$= \dot{V}_{1} + \frac{q_{2}(z_{2})\xi_{b_{2}}^{2p-1}}{k_{b_{2}}(t)(1 - \xi_{b_{2}}^{2P})} \left[ \dot{z}_{2} - z_{2} \frac{\dot{k}_{b_{2}}(t)}{k_{b_{2}}(t)} \right] +$$

$$+ \frac{1 - q_{2}(z_{2})\xi_{a_{2}}^{2p-1}}{k_{a_{2}}(t)(1 - \xi_{a_{2}}^{2P})} \left[ \dot{z}_{2} - z_{2} \frac{\dot{k}_{a_{2}}(t)}{k_{a_{2}}(t)} \right] .$$
(28)

The virtual control law  $\alpha_2$  is chosen as

$$\alpha_{2} = \frac{1}{g_{2}(\overline{x}_{2})} \times \begin{bmatrix} -\hat{\theta}^{T} \omega_{2} - (k_{2} + k_{2}^{u}(t))z_{2} + \frac{\partial \alpha_{1}}{\partial x_{1}}x_{2} + \Phi_{2} \\ + \sum_{j=0}^{1} \frac{\partial \alpha_{1}}{\partial \zeta_{1}^{(j)}} \zeta_{1}^{(j+1)} + \frac{\partial \alpha_{1}}{\partial \hat{\theta}} \Gamma \tau_{2} - \frac{\mu_{1}}{2p\mu_{2}} z_{2} \end{bmatrix}$$
(29)

Let

where  $\Gamma$  is a design parameter,  $\zeta_1 = [y_d, k_{a_1}, k_{b_1}]$  and  $\tau_2$  is an adaptive parameter.

Let  $z_3=x_3-\alpha_2$ , and substituting (26) into (23), it yields

$$\dot{V}_{2} = \dot{V}_{1} + h_{1} \begin{bmatrix} g_{2}(\bar{x}_{2})z_{2} - (k_{2} + k_{2}^{u}(t))z_{2} - \tilde{\theta}^{T}\omega_{2} + \Phi_{2} \\ + \frac{\partial\alpha_{1}}{\partial\hat{\theta}}(\Gamma\tau_{2} - \dot{\theta}) - \frac{\mu_{1}g_{1}(x_{1})}{2p\mu_{2}} - z_{2}\frac{\dot{k}_{b_{2}}(t)}{k_{b_{2}}(t)} \end{bmatrix} + h_{2} \begin{bmatrix} g_{2}(\bar{x}_{2})z_{2} - (k_{2} + k_{2}^{u}(t))z_{2} - \tilde{\theta}^{T}\omega_{2} + \Phi_{2} \\ + \frac{\partial\alpha_{1}}{\partial\hat{\theta}}(\Gamma\tau_{2} - \dot{\theta}) - \frac{\mu_{1}g_{1}(x_{1})}{2p\mu_{2}} - z_{2}\frac{\dot{k}_{a_{2}}(t)}{k_{a_{2}}(t)} \end{bmatrix},$$

where  $h_1 = \frac{q_2(z_2)\xi_{b_2}^{2p-1}}{k_{b_2}(t)(1-\xi_{b_2}^{2p})}, \ h_2 = \frac{(1-q_2(z_2))\xi_{a_2}^{2p-1}}{k_{a_2}(t)(1-\xi_{a_2}^{2p})}.$ 

Note that

$$\begin{aligned} k_2^u(t) + q_2(z_2)(\dot{k}_{b_2}(t) / k_{b_2}(t)) + \\ + (1 - q_2(z_2))(\dot{k}_{a_2}(t) / k_{a_2}(t)) \ge 0 , \end{aligned}$$

then it has

$$\begin{split} \dot{V}_{2} &\leq V_{1} + \mu_{2}g_{2}(x_{2})z_{2}^{2p-1}z_{2} - \mu_{2}\tilde{\theta}^{T}\omega_{2}z_{2}^{2p-1} - \\ &- \frac{k_{2}\xi_{2}^{2p}}{1 - \xi_{2}^{2p}} - \frac{\mu_{1}g_{1}(x_{1})z_{2}^{2p}}{2p} + \mu_{2}\varPhi_{2}z_{2}^{2p-1} + \\ &+ \mu_{2}\frac{\partial\alpha_{1}}{\partial\hat{\theta}}(\Gamma\tau_{2} - \dot{\theta})z_{2}^{2p-1}. \end{split}$$
(32)

According to (23), the following inequality holds

$$\mu_{2}g_{2}(\overline{x}_{2})z_{2}^{2p-1}z_{3} \leq \mu_{2}g_{2}(\overline{x}_{2}) \begin{bmatrix} (2p-1)z_{2}^{2p}/2p + \\ +z_{3}^{2p}/2p \end{bmatrix} . (33)$$

Substituting (17) and (24) into (23), the derivative  $V_2$  is given by

$$\dot{V}_{2} = -\sum_{j=1}^{2} \frac{k_{i} \xi_{i}^{2p}}{1 - \xi_{i}^{2p}} + \frac{1}{2p} \mu_{2} g_{2}(\bar{x}_{2}) z_{3}^{2p} - \tilde{\theta}^{T} \tau_{2} + \mu_{2} z_{2}^{2p-1} \frac{\partial \alpha_{1}}{\partial \hat{\theta}} (\Gamma \tau_{2} - \hat{\theta}).$$
(34)

Step 3: The controller is designed as

$$\mu = \alpha_n \,. \tag{35}$$

The adaptation law is designed as

$$\dot{\hat{\theta}} = \Gamma \tau_n \,.$$
 (36)

The Lyapunov function is chosen as

$$V = V_2 + \left[ \log 1 / (1 - \xi_3^{2p}) \right] / 2p + \tilde{\theta}^{T-1} \tilde{\theta} / 2.$$
 (37)

The time derivative of V is obtained by

$$\dot{z}_{2} = g_{2}(\bar{x}_{2})z_{3} - (k_{2} + k_{2}^{u}(t))z_{2} - \hat{\theta}^{T}\omega_{2} + \Phi_{2} + \\ + \partial\alpha_{1} / \partial\hat{\theta}(\Gamma\tau_{2} - \dot{\hat{\theta}}) - \mu_{1}z_{2} / 2p\mu_{2}.$$
(30)

Substituting (30) into (28), it yields

$$\frac{\partial}{\partial t} - z_{2} \frac{\dot{k}_{b_{2}}(t)}{\dot{k}_{b_{2}}(t)} + h_{2} \left[ + \frac{\partial \alpha_{1}}{\partial \hat{\theta}} (\Gamma \tau_{2} - \dot{\hat{\theta}}) - \frac{\mu_{1} g_{1}(x_{1})}{2p \mu_{2}} - z_{2} \frac{\dot{k}_{a_{2}}(t)}{k_{a_{2}}(t)} \right],$$
(31)

$$\dot{V} \leq -\sum_{j=1}^{3} \frac{k_j \xi_j^{2p}}{1 - \xi_j^{2p}} + \tilde{\theta}^T \left( \Gamma^{-1} \dot{\hat{\theta}} \right) \tau_3 + \frac{\partial \alpha_1}{\partial \hat{\theta}} \mu_2 z_2^{2p-1} + \left( \Gamma \tau_j - \dot{\hat{\theta}} \right) + \frac{\partial \alpha_1}{\partial \hat{\theta}} \Gamma \mu_2 z_2^{2p-1} \mu_2 \omega_2 z_2^{2p-1}.$$
(38)

Then, one can see that

$$\dot{V} \le -\sum_{j=1}^{3} \frac{k_j \xi_j^{2p}}{1 - \xi_j^{2p}} \,. \tag{39}$$

Hence, if the state initial values satisfy  $k_{c}^{l}(0) < x_{i}(0) < k_{c}^{u}(0)$ , then the error signals are bounded by  $-D_{z_i}^l(t) < z_i(t) < D_{z_i}^u(t)$ . In addition, the asymmetric time-varying state constraints are never violated,  $k_{c_i}^l(t) < x_i(t) < k_{c_i}^u$ ,  $\forall t > 0$ . From the above descriptions, we can know that all the signals in the closed-loop are bounded.

#### 4. Comparative simulation studies

To verify the effectiveness of the proposed control scheme, PID and AC are compared in simulation. The simulation parameters of hydraulic servo system are listed in Table 1. For evaluating the tracking performance of the compared controllers, the maximum Me, average  $\mu$ , and standard deviation  $\sigma$  of the tracking errors are used to quantitatively assess the quality of different controllers. The performance indices are defined as follows.

$$\begin{cases}
M_{e} = \max_{i=1,\dots,N} |e_{1}(i)| \\
\mu = \frac{1}{N} \sum_{i=1}^{N} |e_{1}(i)| \\
\sigma = \sqrt{\frac{1}{N} \sum_{i=1}^{N} [|e_{1}(i)| - \mu]^{2}}
\end{cases}$$
(40)

The controller parameters of three different controllers are selected by multiple debugging. More importantly, all the control strategies operate under their optimal parameters to guarantee that the results are convincing.

1. BLBAC: The controller parameters are selected as  $k_1=2, k_2=3, \beta_1=5, \beta_2=7, p=2, \text{ and } \Gamma=dig(3, 2).$ 2. AC: The control law is given by:

$$u = -\hat{a}_0 y - \hat{a}_1 \dot{y} - a_2 \ddot{y} + \dot{\alpha}_2 - k_{01} e_3, \ \alpha_2 = -k_{02} \dot{x} - \alpha_1 + \dot{\alpha}_1 - e_2,$$

Table 1

Simulation parameters of hydraulic servo system

-	•
Parameter	Value
т	200 kg
$V_t$	$7 \times 10^{-5}  m^3$
ρ	890 kg/m <sup>3</sup>
В	1000 N·s/m
$C_t$	5×10 <sup>-3</sup>
$\beta_e$	7×10 <sup>8</sup> Pa
K	8×10 <sup>-7</sup> m/V
Α	$3.12 \times 10^{-4} \mathrm{m}^2$
$P_s$	4 MPa
$k_{v}$	$3.12 \times 10^{-4} \mathrm{m}^2$

 $\alpha_1 = -k_{03}e_1 + \dot{y}$ ,  $\alpha_0 = -k_{01}e_1$ ,  $e_2 = \dot{y} - \alpha_1$  and  $e_3 = \ddot{y} - \alpha_2$ ,  $k_{01}=2, k_{02}=3, k_{03}=3$ .

3. PID: As a classical control method, the gains of controller are tuned by intelligent optimization algorithm for obtain the good control performance,  $k_p=2$ ,  $k_i=3$ ,  $k_d=0.021$ .

The three controllers are first tested for a sinusoidal desired signal  $x_d=60sin(5\pi t)$ , the trajectories of the proposed controller are diagrammed in Fig. 2, where  $k_{c_1}^l = -60 + 40sin(5\pi t)$ ,  $k_{c_1}^u = 80 + 40sin(5\pi t)$ . It can be seen that state constraints are not breached. The adaption control law on the proposed controller is shown in Fig. 3, which verifies the estimation precision of the designed adaptive law.

The position tracking performance and corresponding tracking errors of the sinusoidal signal are given in Fig. 4-5. It is noted that the proposed BLBAC can achieve satisfactory control performance. In these three controllers, PID produces the worst control performance. Without using barrier Lyapunov, the tracking performance of AC is worse than BLBAC, which validate the anti-interference ability of BLBAC. The performance indices of sinusoidal signal are collected in Table 2. It is seen that compared with PID  $(M_{\rm e}=5.9463 \text{ mm}, \mu=3.1881 \text{ mm}, \sigma=1.5330 \text{ mm})$  and AC  $(M_e = 4.6490 \text{ mm}, \mu = 2.3758 \text{ mm}, \sigma = 11.1622 \text{ mm})$ , the proposed BLBAC  $(M_{\rm e}=3.6420 \text{ mm},$  $\mu = 1.2018$  mm,  $\sigma$ =0.6657 mm) achieve the smaller tracking error in both transient and state-steady performance. This is because the barrier-Lyapunov function is introduced in controller design



Fig. 2 Trajectories of  $y_d$ , y,  $k_{c_1}^l$  and  $k_{c_1}^u$ 



Fig. 3 Trajectory of adaptation law

to prevent the constraints overstepped. Contrastive control inputs of PID, AC, BLBAC are shown in Fig. 6, which are continuous and bounded. It is worth noting that the control input of BLBAC is smaller than that of PID and AC, which is owing to that BLBAC has better tracking performance than PID and AC control methods.



Fig. 4 Position tracking performance of sinusoidal signal



Fig. 5 Position tracking error of sinusoidal signal

Table 2

Performance indices of sinusoidal signal

Indices	$M_{ m e}$	μ	σ
PID	5.9463	3.1881	1.5330
AC	4.6490	2.3758	1.1622
BLBAC	3.6420	1.2018	0.6657

To further validate the performance of the proposed controller, a multi-frequency desired reference signal  $x_d$ =50 $sin(5\pi t)$ +40 $sin(10\pi t)$ +20 $sin(25\pi t)$  is applied. The comparative position tracking and corresponding tracking error of three controllers are shown in Figs. 7 and 8. The simulation results indicate that the proposed BLBAC controller obtain the best tracking performance among three controllers. It demonstrates the superiority of the proposed control scheme in dealing with t parametrical nonlinearities and model uncertainties encountered by the hydraulic servo system.

And the performance indices of multi-frequency sinusoidal signal are collected in Table 3. It is obvious that the proposed BLBAC controller obtains the tracking performance in terms of three performance indices, i.e,  $M_{\rm e}$ = 7.4530 mm,  $\mu$ =1.5223 mm,  $\sigma$ =1.6674 mm by the proposed controller,  $M_{\rm e}$ = 10.3410 mm,  $\mu$ =2.1917 mm,  $\sigma$ =2.2614 mm by AC controller,  $M_{\rm e}$ = 16.4560 mm,  $\mu$ =5.5832 mm,  $\sigma$ =3.3801 mm by PID controller. The



Fig. 7 Position tracking performance of multi-frequency sinusoidal signal



Fig. 8 Position tracking error of multi-frequency sinusoidal signal

comparative control input results are given in Fig. 9. One can find in Fig.9 that although the control inputs of three controllers are bounded, the BLBAC provides smoother control signal and generates less fluctuation compared to the other two controllers.

	Table 3
Performance indices of multi-frequency sinusoidal	signal

Indices	$M_{ m e}$	μ	σ
PID	16.4590	5.5832	5.3801
AC	10.3410	2.1917	2.6114
BLBAC	7.4530	1.5223	1.6674



Fig. 9 Control input of multi-frequency sinusoidal signal

#### 5. Conclusion

In this paper, an adaptive controller based on Barrier-Lyapunov has been proposed for trajectory tracking control of hydraulic servo systems in the presence of parametric uncertainties, unmodeled dynamics and external disturbances. To improve the position tracking performance, the dynamic model is first derived. To ensure the tracking trajectory and pressure within the boundaries of expectation, the time varying Barrier-Lyapunov is employed, which guarantees the tracking error is asymptotic stable. Furthermore, a novel adaptive law is adopted to deal with the hydraulic parametric nonlinearities and modeling uncertainties. Comparative simulation results have proved the superiority of the proposed controller PLBAC over PID and AC.

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## BARRIER LYAPUNOV BASED ADAPTIVE CONTROL FOR HYDRAULIC SERVO SYSTEMS WITH PARAMETRICAL NONLINEARITIES AND MODELING UNCERTAINTIES

Summary

Load variations, friction, and external disturbance degrade the control performance of hydraulic servo systems. To attain the high precision trajectory tracking performance for the hydraulic servo systems, a barrier Lyapunov based adaptive controller (BLBAC) is proposed in this paper. For the controller, the adaptive law is developed in each step of backstepping design such that the tracking error can converge to a prescribed accuracy. The state-constrained control of hydraulic servo systems is another issue worthy of attention. Hence, a novel time-varying asymmetric barrier Lyapunov function (TABLF) are adopted to guarantee that the states are not to violate their constraints. The closed-loop signals stability is proved by Lyapunov theory. Comparative simulation results verify the effectiveness of the proposed controller in comparison with the other two controllers for hydraulic servo systems.

**Keywords:** adaptive control, hydraulic servo systems, nonlinearities, uncertainties, Barrier-Lyapunov function.

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